# http://www.ric.edu/webcommunications/images/SealWithText_Small_Black.pngUNDERGRADUATE CURRICULUM COMMITTEE (UCC) PROPOSAL FORM

## **Cover page** scroll over blue text to see further important [instructions](#instructions): [if not working select “COMMents on rollover” in your Word preferences under view] **please read these.**

**N.B. ALL numbered categories in section (A) must be completed. Please do not use highlight to select choices within a category but simply delete the options that do not apply to your proposal (e.g. in A.2 if this is a course revision proposal, just delete the creation and deletion options and the various program ones, so it reads “course revision”) Do not delete any of the numbered categories—if they do not apply leave them blank. If there are no resources impacted, please put “none” in each A. 7 category.**

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| --- | --- | --- | --- | --- | --- |
| A.1. [Course or program](#Proposal) | **FINANCE COURSES: FIN 423W, FIN 431, FIN 432, FIN 434, FIN 435, FIN 461W, FIN 436, FIN 441, FIN 447, FIN 461W, FIN 463W, FIN 467, FIN 491, and FIN 492** | | | |  |
| [Replacing](#Ifapplicable) |  | | | |
| A. 1b. Academic unit | **School of Business** | | | |  |
| A.2. [Proposal type](#type) | **Course: revision** | | | |  |
| A.3. [Originator](#Originator) | **KEMAL SAATCIOGLU** | [Home department](#home_dept) | **ECONOMICS AND FINANCE** | | |
| A.4. [Context and Rationale](#Rationale) Must include additional information listed in smart tip for all [new programs](#type). If **online** course or program, you need to explain what mode(s) you plan to use and why you need that specific delivery. | **This is a long overdue revision on the FINANCE courses to clean up their prerequisites, provide more options to students, and better streamline student progress through the finance program. It also updates the offered times to represent the actual practice. Many of these changes are already in practice through waivers, this will align the catalog with the actual practice.**  **There is one title change for FIN 490 from Independent to Directed study to differentiate from FIN 491/492 sequence and to be consistent with other departments.** | | | | |
| A.5. [Student impact](#student_impact)  Must include to explain why this change is being made? | **These changes will provide students with more options and better streamline the student progress though the finance program. Students will be in a better position to plan ahead as the catalog will now align with what practice has been.** | | | | |
| A.6. [Impact on other programs](#impact) | **No impact except MATH 240 is added as an option to MATH 248, where applicable, and MATH 248 is removed from prerequisite list for two courses, in one instance because it was redundant, in the other it was not needed.** | | | | |
| A.7. [Resource impact](#Resource) | [*Faculty PT & FT*](#faculty): | **none** | | | |
| [*Library*:](#library) | **none** | | | |
| *Technology* | **none** | | | |
| [*Facilities*](#facilities): | **none** | | | |
| A.8. [Semester effective](#Semester_effective) | **Fall 2024** | A.9. [Rationale if sooner than next Fall](#Semester_effective) | |  | |
| A.10. INSTRUCTIONS FOR CATALOG COPY: Use the Word copy versions of the catalog sections found on the UCC Forms and Information page. Cut and paste into a single file **ALL the relevant pages from the college catalog that need to be changed.** Use tracked changes feature to show how the catalog will be revised as you type in the revisions. If totally new copy, indicate where it should go in the catalog. If making related proposals a single catalog copy that includes all changes is preferred. Send catalog copy as a separate single Word file along with this form. | | | | | |
| A.11. List here (with the relevant urls), any RIC website pages that will need to be updated (to which your department does not have access) if this proposal is approved, with an explanation as to what needs to be revised: | | | | | |
| A. 12 **Check to see if your proposal will impact any of our** [**transfer** **agreements,**](file:///Users/SAbbotson/Documents/Curriculum/ManualandWebsite/transfer%20agreements) **and if it does explain in what way. Please indicate clearly what will need to be updated, including any changes in prefix numbers/titles for TES.** | | | | | |
| A. 13 Check the section that lists “Possible NECHE considerations” on the UCC Forms and Information page and if any apply, indicate what that might be here and contact Institutional Research for further guidance. | | | | | |

**B.** [**NEW OR REVISED COURSES**](#delete_if) **FOR WHICH FULL CONTACT HOURS ARE MET IN PERSON and listed as such in the catalog. If the course will be also taught in other modes just fill out the questions that are noted at the top of sections C and/or D, as applicable. Please note, that while the UCC requests information about a course’s modality, approval of the proposal does not constitute approval of any specific faculty to teach the course using that modality—that needs to be approved within the department/school.**

**Delete section B. if the proposal does not include a new or revised IN-PERSON course. As in section A. do not highlight but simply delete suggested options not being used. Always fill in b. 1 and B. 3 for context. NOTE: course learning outcomes and topical outlines only needed for new or substantially revised courses.**

|  | Old ([for revisions only](#Revisions)) ONLY include information that is being revised, otherwise leave blank. | New Examples are provided within some of the boxes for guidance, delete just the examples that do not apply. |
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| B.1. [Course prefix and number](#cours_title) | **FIN 423W, FIN 431, FIN 432, FIN 434, FIN 435, FIN 461W, FIN 436, FIN 441, FIN 447, FIN 450, FIN 461W, FIN 463W, FIN 467, FIN 491, and FIN 492** |  |
| B.2. Cross listing number if any |  |  |
| B.3. [Course title](#title) | **FIN 423W: Financial Markets and Institutions**  **FIN 431: Advanced Corporate Finance**  **FIN 432: Investments**  **FIN 434: International Financial Management**  **FIN 435: Financial Statement Analysis**  **FIN 436: Fixed Income Analysis**  **FIN 441: Financial Derivatives and Risk Management**  **FIN 447: Financial Modeling**  **FIN 461W: Seminar in Finance**  **FIN 463W: Seminar in Portfolio Management**  **FIN 467: Directed Internship**  **FIN 490: Independent Study in Finance**  **FIN 491: Independent Study I**  **FIN 492: Independent Study II** | **FIN 490: Directed Study in Finance** |
| B.4. [Course description](#description) |  |  |
| B.5. [Prerequisite(s)](#prereqs) | **FIN 423W – no change**  **FIN 431: ECON 214, FIN 301, MATH 248**  **FIN 432: FIN 301, MATH 248**  **FIN 434: ECON 215, FIN 301, MATH 248**  **FIN 435 – no change**  **FIN 436 FIN 301, MATH 248**  **FIN 441 – no change**  **FIN 447 -no change**  **FIN 461W: FIN 431, FIN 432, MATH 248**  **FIN 463W – no change**  **FIN 467**  **FIN 491 – Admission to the finance honors program and consent of instructor, department chair and dean.**  **FIN 492 – no change** | **FIN 431: ECON 214, FIN 301, and MATH 240 or MATH 248**  **FIN 432 FIN 301, and MATH 240 or MATH 248**  **FIN 434: ECON 215, FIN 301, and MATH 240 or MATH 248**  **FIN 436: FIN 301**  **FIN 461W: FIN 431, FIN 432**  **FIN 491 – Completion of 12 credits of 300/400 level FIN courses, admission to the finance honors program and consent of instructor, department chair and dean.** |
| B.6. [Offered](#Offered) | **FIN 423W: Fall, Spring**  **FIN 431: Fall, Spring**  **FIN 432 – no change**  **FIN 434: Fall, Spring**  **FIN 435: Fall, Spring**  **FIN 436: As needed**  **FIN 441: As needed**  **FIN 447: As needed**  **FIN 461W - no change**  **FIN 463W: As needed**  **FIN 467 – no change**  **FIN 491– no change**  **FIN 492 – no change** | **FIN 423W: Spring**  **FIN 431: Fall**  **FIN 434: Spring**  **FIN 435: Fall**  **FIN 436: Spring**  **FIN 441: Fall**  **FIN 447: Spring**  **FIN 463W: Fall, Spring** |
| B.7. [Contact hours](#contacthours) |  |  |
| B.8. [Credit hours](#credits) | **No changes to credit hours** |  |
| B.9. [Justify differences if any](#differences) |  | |

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| B.10. [Grading system](#grading) |  |  |
| B.11. [Type of cours](#instr_methods)e |  |  |
| B.12. CATEGORIES  12. a. [How](#required) to be used | **Required or restricted electives for major/minor** | **Required or restricted electives for major/minor** |
| 12 b. Is this an Honors  course? | **no** | **no** |
| 12. c. [General Education](#ge) | **no** | **no** |
| 12. d. Writing in the  Discipline (WID) | **FIN 423W, FIN 461W and FIN 463W yes** | **Others NO** |
| B.13. [How will student performance be evaluated?](#performance) |  |  |
| B.14 [Recommended class-size](#class_size" \o "Check appendix XVIII in the UCC Manual for Best Practices) |  |  |
| B.15. [Redundancy statement](#competing) |  |  |
| B. 16. Other changes, if any |  | |

| B.17**.** [**Course learning outcomes**](#outcomes)**: List each one in a separate row** | [**Professional Org.Standard(s)**](#standards)**, if relevant** | [**How will each outcome be measured?**](#measured) |
| --- | --- | --- |
|  |  | Click Tab from here to add rows |

| B.18. [**Topical outline**](#outline)**: DO NOT INSERT WHOLE SYLLABUS, JUST A TWO-TIER TOPIC OUTLINE suitable for the contact hours requested. Proposals that ignore this request will be returned for revision.** |
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## **G. Signatures**

* **Changes that affect General Education in any way MUST be approved by ALL Deans and COGE Chair**.
* Changes that directly impact more than one department/program MUST have the signatures of all relevant department chairs, program directors, and their relevant dean (e.g. when creating/revising a program using courses from other departments/programs). Check UCC manual 4.2 for further guidelines on whether the signatures need to be approval or acknowledgement.
* Proposals that do not have appropriate approval signatures will not be considered.
* Type in name of person signing and their position/affiliation.
* Send electronic files of this proposal and accompanying catalog copy to [curriculum@ric.edu](mailto:curriculum@ric.edu) to the current Chair of UCC. Check UCC website for due dates. **Do NOT convert to a .pdf.**

##### G.1. Approvals: required from programs/departments/deans who originate the proposal. THESE may include multiple departments, e.g., for joint/interdisciplinary proposals.

| Name | Position/affiliation | [Signature](#_Signature" \o "Insert electronic signature, if available, in this column) | Date |
| --- | --- | --- | --- |
| Dr. Kemal Saatcioglu | Chair, Department of Economics and Finance | Kemal Saatcioglu | 5/1/2024 |
| Dr. Marianne Raimondo | Dean, School of Business | \*Approved by email | 5/2/2024 |

##### G.2. [Acknowledgements](#acknowledge): REQUIRED from OTHER PROGRAMS/DEPARTMENTS (and their relevant deans if not already included above) that are IMPACTED BY THE PROPOSAL. SIGNATURE DOES NOT INDICATE APPROVAL, ONLY AWARENESS THAT THE PROPOSAL IS BEING SUBMITTED. CONCERNS SHOULD BE BROUGHT TO THE UCC COMMITTEE MEETING FOR DISCUSSION; all faculty are welcome to attend.

| Name | Position/affiliation | [Signature](#Signature_2) | Date |
| --- | --- | --- | --- |
| Dr. Rebecca Sparks | Chair, Department of Mathematical Sciences |  |  |
| Dr. Quenby Hughes | Dean, Faculty of Arts and Science | \*Acknowledged by email | 5/2/2024 |